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RESTRICTED JURISDICTIONS POLICY

V.01.2025

Empire FX Trade Limited, trading as Empire FX, is a Limited Liability Company incorporated in Kenya, incorporation number PVT27U5EA9J, authorized and regulated by the Capital Markets Authority as a Dealing Foreign Exchange Broker, license number 203.

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1. Introduction

Empire FX Trade Limited is authorized and regulated by the Capital Markets Authority (“CMA”) under License Number 203. The Company is a private limited company, registered in Kenya, with Company Number PVT-27U5EA9J. The Company’s registered office Empress Office Suites, 5Th Floor, Ring Road Westlands / Jalaram, Road Parklands Box 2883-00606, Nairobi, Kenya.

2. Purpose

This policy outlines the company's approach to restricted jurisdictions where access to our services, including account opening, trading, and financial transactions, is prohibited or subject to strict limitations due to regulatory requirements, legal restrictions, or internal risk assessments.

3. Scope

This policy applies to all clients, potential clients, and any individuals or entities attempting to use the company's services from jurisdictions classified as restricted or sanctioned/blacklisted.

4. Restricted and Sanctioned Jurisdictions

The company does not offer its services to residents or nationals of certain jurisdictions. These jurisdictions may include, but are not limited to, those:

- Subject to international sanctions (e.g., United Nations, European Union, OFAC sanctions)
- Identified as restricted or non-cooperative by international bodies, such as the Financial Action Task Force (FATF)
- Where local laws restrict the offering of our financial services.
- Where the company has determined that the level of regulatory risk is too high.

Empire FX Trade Limited does not offer its services to residents or nationals of restricted or sanctioned jurisdictions as below:

- American Samoa
- Australia
- Austria
- Belgium
- Bulgaria
- Canada
- Central African
- Croatia
- Cuba
- Cyprus
- Czechia
- Denmark
- Eritrea
- Estonia
- El Salvador
- Finland
- France
- Germany
- Greece
- Guam
- Guinea-Bissau
- Hungary
- Iceland
- Indonesia
- Iran
- Iraq
- Ireland
- Italy
- Korea (Democratic People's Republic of)
- Latvia
- Lebanon
- Libya
- Liechtenstein
- Lithuania
- Luxembourg
- Malta
- Mali
- Myanmar
- Netherlands
- Northern Mariana Island
- Norway
- Poland
- Portugal
- Puerto Rico
- Romania
- Russian Federation
- Singapore
- Slovakia
- Slovenia
- Somalia
- Spain
- Sudan
- South Sudan
- Sweden
- Syrian Arab
- United Kingdom
- United States of America
- Virgin Islands (US)
- Yemen

5. Responsibilities

The Compliance Team is responsible for maintaining and updating the restricted and sanctioned jurisdictions list, conducting regular risk assessments, and ensuring compliance with international laws and sanctions.

6. Communication and Updates

This list is subject to change at the company's discretion and based on changes in the regulatory environment. Any updates to the restricted jurisdictions list or changes to this policy will be communicated promptly through official company channels, including the website and direct client notifications.

7. Violations and Penalties

Clients who attempt to circumvent the restrictions by providing false information or using methods to hide their location will face account termination, in accordance with legal and regulatory requirements.

8. Policy Review

This Policy shall be subject to periodic review to ensure its continued relevance, accuracy, and alignment with applicable legal, regulatory, and business requirements. Reviews will be conducted at least once every six (6) months, or earlier if prompted by changes in legislation, regulatory guidance, risk exposure, or strategic business considerations.

9. Contact Information

For questions or concerns regarding this policy, please contact our Compliance Department at compliance@empirefx.com.